

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

MARIA LUISA GARZA,

Plaintiff,

v.

CAROLYN W. COLVIN, Acting
Commissioner of Social Security
Administration,

Defendant.

NO: 1:14-CV-3196-TOR

ORDER GRANTING DEFENDANT'S
MOTION FOR SUMMARY
JUDGMENT

BEFORE THE COURT are the parties' cross-motions for summary judgment (ECF Nos. 17, 21). This matter was submitted for consideration without oral argument. The Court—having reviewed the administrative record and the parties' completed briefing—is fully informed. For the reasons discussed below, the Court grants Defendant's motion and denies Plaintiff's motion.

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JURISDICTION

The Court has jurisdiction over this case pursuant to 42 U.S.C. §§ 405(g), 1383(c)(3).

STANDARD OF REVIEW

A district court's review of a final decision of the Commissioner of Social Security is governed by 42 U.S.C. § 405(g). The scope of review under § 405(g) is limited: the Commissioner's decision will be disturbed "only if it is not supported by substantial evidence or is based on legal error." *Hill v. Astrue*, 698 F.3d 1153, 1158-59 (9th Cir. 2012) (citing 42 U.S.C. § 405(g)). "Substantial evidence" means relevant evidence that "a reasonable mind might accept as adequate to support a conclusion." *Id.* at 1159 (quotation and citation omitted). Stated differently, substantial evidence equates to "more than a mere scintilla[,] but less than a preponderance." *Id.* (quotation and citation omitted). In determining whether this standard has been satisfied, a reviewing court must consider the entire record as a whole rather than searching for supporting evidence in isolation. *Id.*

In reviewing a denial of benefits, a district court may not substitute its judgment for that of the Commissioner. If the evidence in the record "is susceptible to more than one rational interpretation, [the court] must uphold the ALJ's findings if they are supported by inferences reasonably drawn from the record." *Molina v. Astrue*, 674 F.3d 1104, 1111 (9th Cir. 2012). Further, a district

1 court “may not reverse an ALJ’s decision on account of an error that is harmless.”

2 *Id.* An error is harmless “where it is inconsequential to the [ALJ’s] ultimate
3 nondisability determination.” *Id.* at 1117 (internal quotation marks and citation
4 omitted). The party appealing the ALJ’s decision generally bears the burden of
5 establishing that it was harmed. *Shinseki v. Sanders*, 556 U.S. 396, 409-10 (2009).

6 **FIVE-STEP SEQUENTIAL EVALUATION PROCESS**

7 A claimant must satisfy two conditions to be considered “disabled” within
8 the meaning of the Social Security Act. First, the claimant must be “unable to
9 engage in any substantial gainful activity by reason of any medically determinable
10 physical or mental impairment which can be expected to result in death or which
11 has lasted or can be expected to last for a continuous period of not less than twelve
12 months.” 42 U.S.C. §§ 423(d)(1)(A); 1382c(a)(3)(A). Second, the claimant’s
13 impairment must be “of such severity that he is not only unable to do his previous
14 work[,] but cannot, considering his age, education, and work experience, engage in
15 any other kind of substantial gainful work which exists in the national economy.”
16 42 U.S.C. §§ 423(d)(2)(A); 1382c(a)(3)(B).

17 The Commissioner has established a five-step sequential analysis to
18 determine whether a claimant satisfies the above criteria. *See* 20 C.F.R. §§
19 404.1520(a)(4)(i)-(v); 416.920(a)(4)(i)-(v). At step one, the Commissioner
20 considers the claimant’s work activity. 20 C.F.R. §§ 404.1520(a)(4)(i);

1 416.920(a)(4)(i). If the claimant is engaged in “substantial gainful activity,” the
2 Commissioner must find that the claimant is not disabled. 20 C.F.R. §§
3 404.1520(b); 416.920(b).

4 If the claimant is not engaged in substantial gainful activities, the analysis
5 proceeds to step two. At this step, the Commissioner considers the severity of the
6 claimant’s impairment. 20 C.F.R. §§ 404.1520(a)(4)(ii); 416.920(a)(4)(ii). If the
7 claimant suffers from “any impairment or combination of impairments which
8 significantly limits [his or her] physical or mental ability to do basic work
9 activities,” the analysis proceeds to step three. 20 C.F.R. §§ 404.1520(c);
10 416.920(c). If the claimant’s impairment does not satisfy this severity threshold,
11 however, the Commissioner must find that the claimant is not disabled. *Id.*

12 At step three, the Commissioner compares the claimant’s impairment to
13 several impairments recognized by the Commissioner to be so severe as to
14 preclude a person from engaging in substantial gainful activity. 20 C.F.R. §§
15 404.1520(a)(4)(iii); 416.920(a)(4)(iii). If the impairment is as severe or more
16 severe than one of the enumerated impairments, the Commissioner must find the
17 claimant disabled and award benefits. 20 C.F.R. §§ 404.1520(d); 416.920(d).

18 If the severity of the claimant’s impairment does meet or exceed the severity
19 of the enumerated impairments, the Commissioner must pause to assess the
20 claimant’s “residual functional capacity.” Residual functional capacity (“RFC”),

1 defined generally as the claimant's ability to perform physical and mental work
2 activities on a sustained basis despite his or her limitations (20 C.F.R. §§
3 404.1545(a)(1); 416.945(a)(1)), is relevant to both the fourth and fifth steps of the
4 analysis.

5 At step four, the Commissioner considers whether, in view of the claimant's
6 RFC, the claimant is capable of performing work that he or she has performed in
7 the past ("past relevant work"). 20 C.F.R. §§ 404.1520(a)(4)(iv);
8 416.920(a)(4)(iv). If the claimant is capable of performing past relevant work, the
9 Commissioner must find that the claimant is not disabled. 20 C.F.R. §§
10 404.1520(f); 416.920(f). If the claimant is incapable of performing such work, the
11 analysis proceeds to step five.

12 At step five, the Commissioner considers whether, in view of the claimant's
13 RFC, the claimant is capable of performing other work in the national economy.
14 20 C.F.R. §§ 404.1520(a)(4)(v); 416.920(a)(4)(v). In making this determination,
15 the Commissioner must also consider vocational factors such as the claimant's age,
16 education, and work experience. *Id.* If the claimant is capable of adjusting to
17 other work, the Commissioner must find that the claimant is not disabled. 20
18 C.F.R. §§ 404.1520(g)(1); 416.920(g)(1). If the claimant is not capable of
19 adjusting to other work, the analysis concludes with a finding that the claimant is
20 disabled and is therefore entitled to benefits. *Id.*

1 The claimant bears the burden of proof at steps one through four above.
2 *Lockwood v. Comm’r of Soc. Sec. Admin.*, 616 F.3d 1068, 1071 (9th Cir. 2010). If
3 the analysis proceeds to step five, the burden shifts to the Commissioner to
4 establish that (1) the claimant is capable of performing other work; and (2) such
5 work “exists in significant numbers in the national economy.” 20 C.F.R. §§
6 404.1560(c); 416.960(c)(2); *Beltran v. Astrue*, 700 F.3d 386, 389 (9th Cir. 2012).

7 **ALJ’S FINDINGS**

8 Plaintiff filed applications for disability insurance benefits and supplemental
9 security income, dated June 14, 2011, alleging a disability onset date of January
10 31, 2008, in both applications. Tr. 176-82, 183-88. These applications were
11 denied initially and upon reconsideration, Tr. 108-10, 111-14, 119-23, 124-32, and
12 Plaintiff requested a hearing, Tr. 133-34. A hearing was held with an
13 Administrative Law Judge (“ALJ”) on March 21, 2013.¹ Tr. 41-61, 156, 166. On
14 May 1, 2013, the ALJ issued a decision denying Plaintiff benefits. Tr. 16-40.

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17 ¹ Although the hearing transcript states the hearing was held on March 1, 2013, Tr.
18 41, the Notice of Hearing, Tr. 156, Reminder Notice of Hearing, Tr. 166, the ALJ’s
19 decision, Tr. 19, and Plaintiff’s brief, ECF No. 17 at 2, all identify the hearing date
20 as March 21, 2013.

1 As a threshold issue, the ALJ found that Plaintiff met the insured status
2 requirements of Title II of the Social Security Act through September 30, 2008.
3 Tr. 21. At step one, the ALJ found that Plaintiff had not engaged in substantial
4 gainful activity since January 31, 2008, the alleged onset date. Tr. 21. At step
5 two, the ALJ found that Plaintiff had the following severe impairments:
6 osteoarthritis, fibromyalgia, anxiety disorder, and affective disorder. Tr. 22. At
7 step three, the ALJ found that Plaintiff did not have an impairment or combination
8 of impairments that meets or medically equals the severity of a listed impairment.
9 Tr. 23. The ALJ then determined that Plaintiff had the RFC
10 to perform light work as defined in 20 CFR 404.1567(b) and
11 416.967(b). She can lift 20 pounds occasionally and 10 pounds
12 frequently, and stand and/or walk and/or sit for six hours in an eight-
13 hour day or any combination thereof. She can perform frequent, but
14 not constant overhead reaching. She is capable of frequent bilateral
feeling. She should avoid concentrated exposure to cold, hazards and
vibration. She is limited to simple, repetitive tasks with superficial
contact in the workplace and no collaborative work.

15 Tr. 25. At step four, the ALJ found Plaintiff had no past relevant work. Tr. 33. At
16 step five, the ALJ found—considering Plaintiff's age, education, work experience,
17 and RFC—that Plaintiff could perform jobs that exist in significant numbers in the
18 national economy, such as assembler, housekeeping cleaner, and hand packager.
19 Tr. 34. In light of the step five finding, the ALJ concluded that Plaintiff was not
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1 disabled under the Social Security Act and denied her claims on that basis. Tr. 35-
2 36.

3 The Appeals Council denied Plaintiff's request for review on October 28,
4 2014, making the ALJ's decision the Commissioner's final decision for purposes
5 of judicial review. Tr. 1-6; 20 C.F.R. §§ 404.981, 416.1484, 422.210.

6 ISSUES

7 Plaintiff seeks judicial review of the Commissioner's final decision denying
8 her disability benefits and supplemental security income under Titles II and XVI of
9 the Social Security Act. Plaintiff raises the following issues² for this Court's
10 review:

11 (1) Whether the ALJ properly discredited Plaintiff's testimony; and

12 (2) Whether the ALJ properly weighed the medical opinions.

13 ECF No. 17. This Court addresses each issue in turn.

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17 ² Plaintiff also challenges whether the ALJ erred at steps four and five by omitting
18 limitations and failing to demonstrate work that existed in significant numbers,
19 ECF No. 17 at 12; however, Plaintiff has not provided briefing on these issues, and
20 it appears these issues are subsumed within her two other arguments.

DISCUSSION

A. Adverse Credibility Finding

First, Plaintiff faults the ALJ for failing to provide specific findings with clear and convincing reasons for discrediting her symptom claims. ECF No. 17 at 12-21.

An ALJ engages in a two-step analysis to determine whether a claimant's testimony regarding subjective pain or symptoms is credible. "First, the ALJ must determine whether there is objective medical evidence of an underlying impairment which could reasonably be expected to produce the pain or other symptoms alleged." *Molina*, 674 F.3d at 1112 (internal quotation marks omitted). "The claimant is not required to show that her impairment could reasonably be expected to cause the severity of the symptom she has alleged; she need only show that it could reasonably have caused some degree of the symptom." *Vasquez v. Astrue*, 572 F.3d 586, 591 (9th Cir. 2009) (internal quotation marks omitted).

Second, "[i]f the claimant meets the first test and there is no evidence of malingering, the ALJ can only reject the claimant's testimony about the severity of the symptoms if she gives 'specific, clear and convincing reasons' for the rejection." *Ghanim v. Colvin*, 763 F.3d 1154, 1163 (9th Cir. 2014) (quoting *Lingenfelter v. Astrue*, 504 F.3d 1028, 1036 (9th Cir. 2007)). The Ninth Circuit has repeatedly rejected the Commissioner's argument that a lesser standard than

1 “clear and convincing” applies. *See, e.g., Burrell v. Colvin*, 775 F.3d 1133, 1136-
2 37 (9th Cir. 2014) (rejecting the government’s argument that the ALJ need only
3 provide specific reasons for rejecting a claimant’s testimony). “General findings
4 are insufficient; rather, the ALJ must identify what testimony is not credible and
5 what evidence undermines the claimant’s complaints.” *Id.* at 1138 (quoting *Lester*
6 *v. Chater*, 81 F.3d 821, 834 (9th Cir. 1995)); *Thomas v. Barnhart*, 278 F.3d 947,
7 958 (9th Cir. 2002) (“[T]he ALJ must make a credibility determination with
8 findings sufficiently specific to permit the court to conclude that the ALJ did not
9 arbitrarily discredit claimant’s testimony.”). “The clear and convincing evidence
10 standard is the most demanding required in Social Security cases.” *Garrison v.*
11 *Colvin*, 759 F.3d 995, 1015 (9th Cir. 2014) (quoting *Moore v. Comm’r of Soc. Sec.*
12 *Admin.*, 278 F.3d 920, 924 (9th Cir. 2002)).

13 In making an adverse credibility determination, the ALJ may consider, *inter*
14 *alia*, (1) the claimant’s reputation for truthfulness; (2) inconsistencies in the
15 claimant’s testimony or between his testimony and his conduct; (3) the claimant’s
16 daily living activities; (4) the claimant’s work record; and (5) testimony from
17 physicians or third parties concerning the nature, severity, and effect of the
18 claimant’s condition. *Thomas*, 278 F.3d at 958-59.

19 At step one of the analysis, the ALJ found that Plaintiff’s medically
20 determinable impairments could reasonably be expected to cause some symptoms.

1 Tr. 27. At step two, however, the ALJ found Plaintiff's statements concerning the
2 intensity, persistence, and limiting effects of her symptoms "not entirely credible."
3 Tr. 27. With no finding of malingering, the ALJ needed to provide specific, clear,
4 and convincing reasons for rejecting Plaintiff's testimony. *Ghanim*, 763 F.3d at
5 1163.

6 This Court finds the ALJ provided several specific, clear, and convincing
7 reasons for discounting Plaintiff's symptom claims.

8 **1. Medical Evidence**

9 First, the ALJ found the objective medical evidence did not support
10 Plaintiff's allegations of disabling physical limitations, which limitations include
11 pain all over from her arthritis and fibromyalgia but with the most significant pain
12 in her hands.³ Tr. 27. In support, the ALJ summarized examination findings
13 showing normal physical symptoms:

15 ³ Within the ALJ's discussion of inconsistencies between Plaintiff's symptom
16 claims and the objective medical evidence, the ALJ mentions that an August 2011
17 treatment note indicated Plaintiff had a history of noncompliance and her pain had
18 decreased with medication. Tr. 28. Although these are generally permissible
19 reasons to discount a claimant's testimony, the ALJ's mention in passing and
20 citation to one page of treatment notes in support do not constitute clear and

1 X-rays from July 2009 showed spondylosis of the cervical spine. A
2 physical examination from July 2011 showed lumbar spine tenderness
3 and mild pain with range of motion. Her cervical and thoracic spine
4 were within normal limits. . . . Upon physical examination in October
5 2011 with her primary care physician, Dr. Byrd, the claimant had no
6 clubbing, cyanosis or edema in her extremities. She had diffuse
7 tenderness wherever she was touched. There was no overt evidence of
8 active synovitis. She had a normal range of motion with her hands
9 grip, wrist, elbow, shoulder, hip and knee. A neurologic examination
10 showed no evidence of focal muscle weakness or loss of sensation to
11 light touch. In November 2011, the claimant was abusing her
12 prescription pain medications. She reported that she was taking double
13 her prescribed dosage. The claimant returned to Dr. Byrd in April
14 2012 with diffuse somatic complaints. She indicated that she had had
15 a little bit of flare of diffuse arthralgias related to some stress. She
16 denied other sensations of numbness, tingling and weakness. X-rays
17 of her bilateral hands and wrists showed osteopenia with no
18 significant arthropathy or soft issue abnormality. . . . A physical
19 examination in November 2012 was unremarkable. The claimant had
20 a grossly normal motor and sensory exam. Her hygiene was good and
she was wearing makeup, which shows some ability to use her hands
and arms. At another follow up in February 2013, the claimant
indicated that she still hurt diffusely with light stroking of the skin but
she had not had any hot swollen joints and she felt her medication had
been beneficial.

Tr. 27-28 (internal record citations omitted).

In assessing a claimant's credibility, an ALJ may consider whether the
Plaintiff's self-reports are contradicted by the medical record. *Carmickle v.*
Comm'r of Sec. Sec. Admin., 533 F.3d 1155, 1161 (9th Cir. 2008) ("Contradiction
convincing reasoning supported by substantial evidence for rejecting Plaintiff's
symptom claims.

1 with the medical record is a sufficient basis for rejecting the claimant's subjective
2 testimony."); *see also* SSR 96-7p, 1996 WL 374186, at *5. However, "an ALJ
3 may not reject a claimant's subjective complaints based solely on a lack of medical
4 evidence to fully corroborate the alleged severity of pain," the rationale being that
5 "pain testimony may establish greater limitations than can medical evidence
6 alone." *Burch v. Barnhart*, 400 F.3d 676, 680 (9th Cir. 2005). When making this
7 finding, the ALJ must link the testimony she finds not credible to the particular
8 parts of the record supporting her non-credibility determination. *Brown-Hunter v.*
9 *Colvin*, --- F.3d ---, 2015 WL 6684997, at *1 (9th Cir. Aug. 4, 2015) (amended
10 Nov. 3, 2015) ("We hold that an ALJ does not provide specific, clear, and
11 convincing reasons for rejecting a claimant's testimony by simply reciting the
12 medical evidence in support of his or her [RFC] determination. . . . [W]e require
13 the ALJ to specify which testimony she finds not credible, and then provide clear
14 and convincing reasons, supported by evidence in the record, to support that
15 credibility determination.").

16 This Court finds the ALJ permissibly discounted Plaintiff's claims based on
17 the inconsistent medical evidence, which reason was paired with other reasoning
18 discussed below for discounting Plaintiff's credibility. The ALJ stated that she
19 found Plaintiff's allegations of physical limitations not credible and proceeded to
20 summarize the medical evidence that contradicted Plaintiff's claims of physical

1 limitations, which limitations primarily consisted of difficulty using her hands.
2 Because the ALJ adequately identified which portion of Plaintiff's symptom
3 claims were not credible based on the medical evidence, this Court finds the ALJ
4 provided a specific, clear, and convincing reason for discounting Plaintiff's
5 credibility. *See Treichler v. Comm'r of Soc. Sec. Admin.*, 775 F.3d 1090, 1103 (9th
6 Cir. 2014) ("The ALJ must identify the testimony that was not credible, and
7 specify 'what evidence undermines the claimant's complaints.'").

8 **2. Daily Activities**

9 Second, the ALJ found Plaintiff's allegations of disabling symptoms—
10 which allegations include both her physical limitations from arthritis and
11 fibromyalgia and mental limitations from anxiety—were not consistent with her
12 self-reported activities. Specifically, the ALJ noted that Plaintiff cooks, does
13 dishes, mops, sweeps, cleans, and engages in hiking, gardening, yard work, and
14 "crafts of all sorts." Tr. 29. The ALJ also noted that Plaintiff's 11-year old lived
15 with her, demonstrating that Plaintiff engaged in parental duties, and that Plaintiff
16 spent at least part of three days a week gathering and selling items at an outdoor
17 flea market. Tr. 29-30.

18 "While a claimant need not vegetate in a dark room in order to be eligible
19 for benefits, the ALJ may discredit a claimant's testimony when the claimant
20 reports participation in everyday activities indicating capacities that are

1 transferable to a work setting.” *Molina*, 674 F.3d at 1112-13 (internal citations and
2 quotation marks omitted). “Even where those activities suggest some difficulty
3 functioning, they may be grounds for discrediting the claimant’s testimony to the
4 extent that they contradict claims of a totally debilitating impairment.” *Id.* at 1113.
5 Ninth Circuit precedent has repeatedly emphasized that general findings are
6 insufficient to discount a claimant’s self-reports. *See Burrell*, 775 F.3d at 1138
7 (rejecting as insufficiently specific an ALJ’s general finding that the “[c]laimant’s
8 self-reports were inconsistent in some unspecified way with her testimony at the
9 hearing”).

10 This Court finds the ALJ provided another specific, clear, and convincing
11 reason based on substantial evidence for discounting Plaintiff’s claims. Here, the
12 ALJ found Plaintiff’s allegations of disabling symptoms, which primarily included
13 pain in her hands from arthritis, inconsistent with her reported activities, such as
14 engaging in “crafts of all sorts” and spending at least a portion of several days a
15 week gathering and selling clothing, dishes, and other items and an outdoor flea
16 market. This Court finds this explanation adequately specific for purposes of an
17 adverse credibility finding. *See id.*

18 **3. Overall Credibility**

19 Finally, the ALJ found Plaintiff had an “intermittent and low earnings
20 record,” suggesting that reasons other than debilitating impairments contribute to a

1 lack of full-time work contrary to Plaintiff's testimony. Tr. 30. In support, the
2 ALJ cited to Plaintiff's earning records, which span thirty years and show an
3 overall unimpressive work history well before the alleged onset date. Plaintiff
4 does not object to this rationale.

5 Poor work history can provide a permissible reason to cast doubt on
6 Plaintiff's purported reason for unemployment. *Thomas*, 278 F.3d at 959; *see also*
7 SSR 96-7p, 1996 WL 374186, at *5 (noting that "[s]tatements and reports from the
8 individual and from treating or examining physicians or psychologists and other
9 persons about the individual's . . . prior work record and efforts to work" may be
10 considered in assessing the claimant's credibility). Accordingly, this Court finds
11 the ALJ provided a specific, clear, and convincing reason for discounting
12 Plaintiff's overall credibility.

13 In sum, this Court finds the ALJ provided specific, clear, and convincing
14 reasoning for rejecting Plaintiff's testimony. *See Ghanim*, 763 F.3d at 1163.

15 **B. Medical Opinion Evidence**

16 Second, Plaintiff faults the ALJ for improperly weighing the opinions of Dr.
17 Jessee McClelland, Ms. Gabriela Mondragon, Dr. Vivek Shad, and the state
18 agency reviewers. ECF No. 17 at 21-31.

19 There are three types of physicians: "(1) those who treat the claimant
20 (treating physicians); (2) those who examine but do not treat the claimant

1 (examining physicians); and (3) those who neither examine nor treat the claimant
2 but who review the claimant's file (nonexamining or reviewing physicians)."
3 *Holohan v. Massanari*, 246 F.3d 1195, 1201-02 (9th Cir. 2001) (brackets omitted).
4 "Generally, a treating physician's opinion carries more weight than an examining
5 physician's, and an examining physician's opinion carries more weight than a
6 reviewing physician's." *Id.* at 1202. "In addition, the regulations give more
7 weight to opinions that are explained than to those that are not, and to the opinions
8 of specialists concerning matters relating to their specialty over that of
9 nonspecialists." *Id.* (citations omitted).

10 If a treating or examining physician's opinion is uncontradicted, an ALJ may
11 reject it only by offering "clear and convincing reasons that are supported by
12 substantial evidence." *Bayliss v. Barnhart*, 427 F.3d 1211, 1216 (9th Cir. 2005).
13 "However, the ALJ need not accept the opinion of any physician, including a
14 treating physician, if that opinion is brief, conclusory and inadequately supported
15 by clinical findings." *Bray v. Comm'r of Soc. Sec. Admin*, 554 F.3d 1219, 1228
16 (9th Cir. 2009) (internal quotation marks and brackets omitted). "If a treating or
17 examining doctor's opinion is contradicted by another doctor's opinion, an ALJ
18 may only reject it by providing specific and legitimate reasons that are supported
19 by substantial evidence." *Bayliss*, 427 F.3d at 1216 (citing *Lester*, 81 F.3d at 830-
20 31).

1 “Where an ALJ does not explicitly reject a medical opinion or set forth
2 specific, legitimate reasons for crediting one medical opinion over another, he
3 errs.” *Garrison*, 759 F.3d at 1012. “In other words, an ALJ errs when he rejects a
4 medical opinion or assigns it little weight while doing nothing more than ignoring
5 it, asserting without explanation that another medical opinion is more persuasive,
6 or criticizing it with boilerplate language that fails to offer a substantive basis for
7 his conclusion.” *Id.* at 1012-13. That being said, the ALJ is not required to recite
8 any magic words to properly reject a medical opinion. *Magallanes v. Bowen*, 881
9 F.2d 747, 755 (9th Cir. 1989) (holding that the Court may draw reasonable
10 inferences when appropriate). “An ALJ can satisfy the ‘substantial evidence’
11 requirement by ‘setting out a detailed and thorough summary of the facts and
12 conflicting clinical evidence, stating his interpretation thereof, and making
13 findings.’” *Garrison*, 759 F.3d at 1012 (quoting *Reddick v. Chater*, 157 F.3d 715,
14 725 (9th Cir. 1998)).

15 **1. Jesse McClelland, M.D.**

16 First, Plaintiff faults the ALJ for improperly rejecting the opinion of Dr.
17 McClelland. ECF No. 17 at 21-25. Specifically, Plaintiff points to Dr.
18 McClelland’s August 2011 consultation, in which he opined Plaintiff may struggle
19 with detailed complex tasks, have problems accepting instructions from
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1 supervisors, may struggle to interact with coworkers and the public, and likely
2 struggle to maintain attendance. Tr. 342-46.

3 This Court finds the ALJ properly rejected the opinions of Dr. McClelland.
4 As his opinions regarding Plaintiff's mental functioning were contradicted, *see* Tr.
5 32, the ALJ need only have provided "specific and legitimate" reasoning for
6 rejecting them.⁴ *Bayliss*, 427 F.3d at 1216. The ALJ provided several specific and
7 legitimate reasons for affording Dr. McClelland's opinion "little weight." Tr. 32.

8 The ALJ found that Dr. McClelland's opinion was based largely on
9 Plaintiffs' subjective reports, which the ALJ found to be not credible. Tr. 32. An
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11 ⁴ Plaintiff asserts that a reviewing doctor cannot contradict the opinion of an
12 examining or treating physician. ECF No. 23 at 9 n.3. However, the opinions
13 cited in support merely demonstrate that a nonexamining doctor's opinion cannot
14 *by itself* constitute substantial evidence that justifies the rejection of an examining
15 or treating physician's opinion. *See Morgan v. Comm'r of Soc. Sec. Admin.*, 169
16 F.3d 595, 602 (9th Cir. 1999) ("The opinion of a nonexamining medical advisor
17 cannot by itself constitute substantial evidence that justifies the rejection of the
18 opinion of an examining or treating physician. . . . But we have consistently upheld
19 the Commissioner's rejection of the opinion of a treating or examining physician,
20 based *in part* on the testimony of a nontreating, nonexamining medical advisor.")

1 ALJ may reject even a treating physician's opinion if it is based "to a large extent"
2 on a claimant's self-reports which were properly discounted. *Tommasetti*, 533
3 F.3d at 1041. A review of Dr. McClelland's evaluation shows that they largely
4 reflect Plaintiff's reports with little independent analysis or diagnosis. For
5 instance, Dr. McClelland opined that Plaintiff would struggle to interact with
6 coworkers and maintain regular attendance due to anxiety she experiences when
7 she leaves the house and interacts with others, Tr. 345; earlier in the report, Dr.
8 McClelland writes that, according to Plaintiff's own statements, she has panic
9 attacks when she leaves her house or finds herself in crowds, Tr. 342. As another
10 example, Dr. McClelland opines that Plaintiff would suffer multiple shortcomings
11 in the work environment due to her depression, Tr. 345; this opinion appears to be
12 based on Plaintiff's own statements, claiming her depressive episodes last weeks or
13 months and lead to concentration and memory issues, Tr. 342-43, as compared to
14 the limited findings from the mental status examination.

15 The ALJ also found Dr. McClelland's opinion was inconsistent with
16 Plaintiff's reported activity. Tr. 32. Specifically, the ALJ noted that Plaintiff had
17 "been able to go to flea markets on a consistent basis, which involves getting out of
18 her house and interacting with others, in contrast with Dr. McClelland's opinion"
19 that Plaintiff would struggle to interact with coworkers and the public. Because an
20 ALJ may discount a doctor's opinion if it conflicts with Plaintiff's daily activities,

1 *Morgan v. Comm’r of Soc. Sec. Admin.*, 169 F.3d 595, 601-02 (9th Cir. 1999), the
2 ALJ provided another specific and legitimate reason for affording Dr.
3 McClelland’s opinion limited weight.

4 In sum, the ALJ provided specific and legitimate reasoning supported by
5 substantial evidence for according the opinions of Dr. McClelland only limited
6 weight. *See Bayliss*, 427 F.3d at 1216.

7 **2. Gabriela Mondragon, MSW**

8 Second, Plaintiff faults the ALJ for improperly rejecting the opinion of Ms.
9 Mondragon, a social worker. ECF No. 17 at 25-27. Specifically, Plaintiff points to
10 Ms. Mondragon’s December 2008 evaluation, in which she opined Plaintiff could
11 work 1 to 10 hours per week. Tr. 369-73.

12 Medical providers, such as social workers, are not “acceptable medical
13 sources” and thus not entitled to the same deference as licensed physicians and
14 certain other qualified specialists. SSR 06-03p, 2006 WL 2329939, at *2
15 (explaining that, among others, social workers and therapists are not “acceptable
16 medical sources”); *Molina*, 674 F.3d at 1111. Instead, these medical providers
17 constitute “other sources” as defined in Sections 404.1513(d) and 416.913(d).
18 *Molina*, 674 F.3d at 1111. “The ALJ may discount testimony from these other
19 sources if the ALJ gives reasons germane to each witness for doing so.” *Id.*
20 (internal quotation marks omitted). Such “other source” opinions must be

1 evaluated on the basis of their qualifications, whether their opinions are consistent
2 with the record evidence, the evidence provided in support of their opinions, and
3 whether the source has a specialty or area of expertise related to the individual's
4 impairment. SSR 06-03p, 2006 WL 2329939, at *3.

5 As Ms. Mondragon is an "other source," the ALJ provided the following
6 germane reasons for affording Ms. Mondragon's opinion "limited weight." Tr. 31.
7 First, the ALJ found Ms. Mondragon's opinion was inconsistent with Plaintiff's
8 activities. Tr. 31. Second, the ALJ found Ms. Mondragon's opinion inconsistent
9 with the opinions of other evaluating doctors. Tr. 31. These reasons constitute
10 germane reasons for not fully crediting Ms. Mondragon's opinion. Although the
11 ALJ erred when she noted that Ms. Mondragon's opinion was given outside the
12 applicable time period, this error does not detract from the two other germane
13 reasons the ALJ gave for rejecting Ms. Mondragon's opinion.

14 **3. Vivek Shad, M.D.**

15 Third, Plaintiff faults the ALJ for impermissibly ignoring the opinion of Dr.
16 Shad, who, in June 2006, opined Plaintiff was not capable of performing full-time
17 work. ECF No. 17 at 27-28 (citing Tr. 373).

18 The ALJ did not address Dr. Shad's opinion, which was provided over one
19 year before the onset date. To the extent that the ALJ's failure to explain why she
20 assigned this opinion no weight is error, *see Garrison*, 759 F.3d at 1012, that error

1 is harmless. Medical opinions that predate the alleged onset of disability are of
2 limited relevance. *Carmickle*, 533 F.3d at 1165. Further, Dr. Shad simultaneously
3 found Plaintiff incapable of full-time work and able to start working on the date of
4 his opinion. *See* Tr. 373. Thus, it is unclear how this opinion, if given any weight
5 in the ALJ's analysis, would have affected the ultimate nondisability finding for
6 the period of time at issue. *See Molina*, 674 F.3d at 1117 (“[W]e will not reverse
7 for errors that are ‘inconsequential to the ultimate nondisability determination.’”).

8 **4. State Agency Reviewers**

9 Finally, Plaintiff faults the ALJ for improperly weighing the opinion
10 evidence of the state agency reviewers, Dr. Reade, Dr. Platter, and Dr. Gardner.
11 ECF No. 17 at 29-31. Regarding Dr. Platter, Plaintiff faults the ALJ for ignoring
12 Dr. Platter's opinion that Plaintiff's testimony was credible. *Id.* at 29-30.
13 Regarding Dr. Gardner, Plaintiff faults the ALJ for failing to address Dr. Gardner's
14 opinion. *Id.* at 29-30. Regarding Dr. Reade, Plaintiff faults the ALJ for failing to
15 sufficiently explain why Dr. Reade's opinions prevailed over Dr. McClelland's.
16 *Id.* at 30-31.

17 As an initial matter, the ALJ began her review of the medical opinion
18 evidence by noting that she gave considerable weight to the opinions of the DDS
19 physicians and psychologists “in recognition of the fact that, unlike the other
20 providers of opinions, the DDS sources are experts in Social Security disability.”

1 Tr. 31; *see* 20 C.F.R. § 404.1527(e)(i). The ALJ also noted the value of their
2 opinions in assessing the residual functional capacity, especially when supported
3 by the record as a whole. Tr. 31; *see Thomas*, 278 F.3d at 957 (“The opinions of
4 non-treating or non-examining physicians may . . . serve as substantial evidence
5 when the opinions are consistent with independent clinical findings or other
6 evidence in the record.”).

7 As to Dr. Platter, the ALJ need not have accepted the credibility
8 determination of a doctor, especially a non-examining one. Rather, the credibility
9 determination is the province of the ALJ, or trier of fact. *Morgan*, 169 F.3d at 599
10 (“[Q]uestions of credibility and resolutions of conflicts in the testimony are
11 functions solely of the [ALJ].”).

12 As to Dr. Gardner, the ALJ erred by ignoring his opinion. 20 C.F.R. §
13 404.1527(e). Nonetheless, this failure is harmless to the ultimate disability
14 determination. *Molina*, 674 F.3d at 1111. Dr. Reade’s opinion, which the ALJ
15 gave significant weight, is almost identical to Dr. Gardner’s. *Compare* Tr. 72-73,
16 *with* Tr. 104-05. Indeed, the narrative portions of the opinions are identical.
17 *Compare* Tr. 72-73, *with* Tr. 104-05. The only differences are in regard to
18 Plaintiff’s abilities (1) to perform activities within a schedule, maintain regular
19 attendance, and be punctual within customary tolerances, and (2) to work in
20 coordination with or in proximity to others without being distracted by them—

1 whereas Dr. Gardner opined Plaintiff would be moderately limited in these
2 categories, Dr. Reade opined Plaintiff would not be significantly limited. *Compare*
3 Tr. 72-73, with Tr. 104. Ultimately, both Dr. Reade and Dr. Gardner opined
4 Plaintiff would “perform well [with] simple, repetitive work with limited
5 interaction with others,” Tr. 73, 104, and the ALJ incorporated these limitations in
6 the RFC, Tr. 25. Accordingly, any error in failing to address Dr. Garner’s opinion,
7 in addition to Dr. Reade’s opinion, is harmless.

8 As to Dr. Reade, the ALJ sufficiently explained why she discounted the
9 opinion of examining physician Dr. McClelland in favor of nonexamining
10 evaluator Dr. Reade. As already addressed above, the ALJ provided sufficient
11 reasoning for affording Dr. McClelland’s opinion little weight. Dr. Reade’s
12 opinion, on the other hand, was consistent with treatment notes in the record and
13 consistent with Plaintiff’s daily activities.

14 In sum, despite Plaintiff’s arguments to the contrary, the ALJ properly
15 weighed the opinion evidence. *See Bayliss*, 427 F.3d at 1216.

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1 **IT IS ORDERED:**

2 1. Plaintiff's Motion for Summary Judgment (ECF No. 17) is **DENIED**.

3 2. Defendant's Motion for Summary Judgment (ECF No. 21) is

4 **GRANTED.**

5 The District Court Executive is directed to file this Order, enter Judgment
6 for Defendant, provide copies to counsel, and **CLOSE** the file.

7 **DATED** December 18, 2015.



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A handwritten signature in blue ink that reads "Thomas O. Rice".

THOMAS O. RICE
United States District Judge